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Ronald H. Filler Institute for Financial Services

Fall 11-16-2021

Lunch Lecture | Insider Trading: Overview and Developments

Eric Kriftcher

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LUNCH LECTURE

INSIGHT TRADING LANS: OVERVIEW AND DELOPINES AND DELOPINES

TUESDAY, NOVEMBER 16, 2021

12:45 P.M.—1:45 P.M. EST

RSVP: www.nyls.edu/fillerRSVP

Information on how to access the webinar will be provided via email closer to the webinar date.

CLE: 1.0 FREE CREDIT IN PROFESSIONAL DEVELOPMENT (NY transitional and nontransitional)

As regulators ramp up their enforcement efforts, trading on nonpublic information has ensnared everyone from top business executives to celebrities to small investors. What are the basic elements of insider trading? Who is impacted and what are the legal theories that underpin insider trading laws? And what are some notable recent developments?



Eric Kriftcher



Sumahn Das

Speakers Eric Kriftcher,
Managing Director and
Associate General Counsel, and
Sumahn Das, Assistant General
Counsel & Vice President, Bank
of America, will answer these
and other questions.



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