

Spring 3-8-2022

Lunch Lecture | Defending Clients from Investor Arbitration Claims

Matthew Baum

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LUNCH LECTURE

DEFENDING CLIENTS FROM INVESTOR ARBITRATION CLAIMS

TUESDAY, MARCH 8, 2022

12:45 P.M.—1:45 P.M. EST

RSVP: www.nyls.edu/studentrsvp

Information on accessing the webinar will be provided via email closer to the webinar date.

Investing in the markets always involves risks and the real possibility of financial losses. Every year, lawyers file thousands of claims (through FINRA arbitrations) on behalf of their clients to recoup investment losses from broker-dealers.



Matthew B. Baum
'09, Partner at Ellenoff Grossman & Schole LLP, will give an overview of how lawyers representing broker-dealers defend against and resolve such claims.

The Ronald H. Filler Institute for Financial Services Law is housed within the Center for Business and Financial Law.



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