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#### 283 F.3d 471 (2002)

## HOUSING WORKS, INC., Plaintiff-Appellee,

V.

# Bernard KERIK, Commissioner of the New York City Police Department and the City of New York, Defendants-Appellants.

Docket No. 01-7245.

#### United States Court of Appeals, Second Circuit.

Argued June 25, 2001. Decided March 7, 2002.

\*472 \*473 Christopher T. Dunn, New York Civil Liberties Union, New York, NY (Arthur Eisenberg, of counsel), for Plaintiff-Appellee.

Ellen S. Ravitch, Corporation Counsel's Office, City of New York, New York, NY (Daniel S. Connolly, Steven J. McGrath, on the brief), for Defendants-Appellants.

Before: MINER and LEVAL, Circuit Judges, and SCULLIN, Chief District Judge. [\*]

MINER, Circuit Judge.

Defendants-appellants Bernard Kerik, Commissioner of the New York City Police Department and the City of New York (together, "the City") appeal from a judgment entered in the United States District Court for the Southern District of New York (Wood, *J.*), in favor of plaintiff-appellee Housing Works, Inc. ("Housing Works"). The judgment permanently enjoins the City from enforcing its policy banning the use of amplified sound on the steps, sidewalks, and plaza area directly in front of New York City Hall. The district court determined that the sound amplification policy involves the impermissible exercise of discretion by the City and therefore is facially violative of the First Amendment. For the reasons that follow, we reverse.

#### BACKGROUND

Housing Works is a not-for-profit corporation that provides housing, services, and advocacy for New York City residents who have AIDS or are HIV positive. It frequently organizes outdoor events, rallies, and demonstrations to call public attention to its goals. The action giving rise to this appeal originated in the City's denial of a formal request by Housing Works to use amplified sound in the plaza adjacent to City Hall at its World AIDS Day event on December 1, 2000. The request was made in a letter dated September 27, 2000, from Christopher Dunn, Esq. of the New York Civil Liberties Union, to Daniel S. Connolly, Esq. of the New York City Law Department. Mr. Dunn advised that the sound would be used only in a manner that could reasonably be regulated by the City and that the sound level would not in any way disturb the business of City Hall. The letter included the opinion that any ban on the use of sound would have the effect of undermining the permanent injunction against the City issued in a prior action involving the same parties. See <u>Housing Works, Inc. v. Safir, 101</u> F.Supp.2d 163 (S.D.N.Y.2000). Apparently, materials were submitted in the prior action tending to show that a ban on sound would have the effect of limiting demonstrations and rallies to no more than fifty people in the plaza, a numerical limitation impermissible under the injunction issued in Safir.

In his letter of reply dated October 10, 2000, Mr. Connolly stated that Housing Works' previous requests for use of the steps and plaza area of City Hall and for permission to conduct a twenty-four-hour vigil in and around City Hall Park in connection with the December 1, 2000 event would be granted upon timely application. He also noted that Housing Works would \*474 be granted a sound amplification permit for thirteen consecutive hours for the City Hall Park portion of the event. As to sound amplification in City Hall Plaza, Mr. Connolly observed that sound amplification has not traditionally been permitted in that area, except for certain exempted events when City Hall is closed for business. The proximity of the plaza to the seat of City government and the consequent interference with public business caused by amplified sound was the reason given for denial of the permit.

Responding to Mr. Dunn's assertion that the ban on sound amplification would undermine the injunction issued in *Safir*, Mr. Connolly stated that, although the issue of sound may have been discussed, it was not before the court and not resolved by the injunction that was issued in that case. Mr. Connolly reminded Mr. Dunn that a limited experiment had been conducted using sound amplification in the plaza for a single event. According to Mr. Connolly, the experiment demonstrated that only a minimal amount of amplified sound could be used without disruption to the City Hall building. The low decibel levels allowed were said to be so frustrating to the organizers of the event in achieving their purposes that there were repeated violations of the agreed-upon levels.

In *Safir*, a permanent injunction was issued against enforcement of the Rules then contained in Chapter 9, Title 55 of the New York City Rules and Regulations. *See id.* at 171. These Rules limited the size of groups engaging in expressive activity on the steps of City Hall to fifty persons and the size of such groups assembling on the plaza adjacent to City Hall to 150 persons. Events were limited to two hours in duration. Issued on January 19, 2000, the Rules exempted from coverage events traditionally organized or sponsored by the City and administered by designated City agencies. A permit, issued on application to the New York City Police Department, was required for the conduct of any covered activity.

In invalidating the Rules in their entirety, the district court found that Chapter 9, Title 55 "is unconstitutional because it is content-based, affords undue discretion to City officials, and is not narrowly tailored." *Id.* The court opined that the Rules "permit the City to exclude virtually any activity which the City chooses to sponsor." *Id.* at 169. Since "very little in the ... Rules restrict[ed] the City's ability to designate an event as falling under the ... exemptions," *id.*, the district court concluded that the Rules vested undue discretion in City officials. The district court accordingly determined that the Rules "allow the City to practice content-based or viewpoint-based discrimination in deciding which ideas it will celebrate and which it may marginalize, circumscribe, and restrict." *Id.* With respect to the numerical limits imposed by the Rules, the district court found that "[t]he evidence does not support defendants' contention that more than 50 people cannot assemble on the steps of City Hall without impeding access to the building or compromising safety," *id.* at 171, and therefore concluded that the "Rules are not narrowly tailored to a significant government interest," *id.* 

Responding to the permanent injunction issued by the district court in *Safir*, the City promulgated a new set of rules. On April 19, 2000, the City adopted Chapter 10 of Title 55 of the New York City Rules and Regulations, effective May 19, 2000. Chapter 10, entitled "Rules for Press Conferences, Demonstrations and Similar Activities in the Immediate Vicinity of City Hall" applies to covered activities in the plaza area of City Hall. The plaza area is described as "the bluestone-paved area bordered on the north by the sidewalk fronting City Hall, on the south by City \*475 Hall Park and to the east and west by cobblestone parking areas." 55 R.C.N.Y. § 10-01. "Covered activities" are defined as "press conferences, demonstrations, picketing, speechmaking, vigils and like forms of expressive conduct." *Id.* Specifically excluded from the definition of covered activities are "(i) inaugurations; (ii) award ceremonies for city employees; and (iii) ceremonies held in conjunction with a City-sponsored ticker-tape parade." *Id.* § 10-02.

The new Rules also limit to 300 the number of persons permitted to gather and limit to three the number of hours allowed for covered activities on the steps, sidewalks, and plaza fronting City Hall as designated by the Police Department, *id.* § 10-03; provide that groups of over 300 persons and those who seek to engage in a covered activity for longer than three hours be directed to obtain a permit for the use of City Hall Park or comparable area, *id.*; prohibit the obstruction of the usual use of City Hall and conduct that is disorderly or dangerous to public safety, *id.* § 10-05; establish procedures to be administered by the Police Department for applications for permits to engage in covered activities as well as criteria for the granting or denial of permits, *id.* § 10-06; allow for the revocation of permits by the Police Department during the conduct of covered activities for specified reasons, *id.* § 10-07; authorize police to use magnetometers or other security devices to preserve public peace and safety, *id.* § 10-08; and confer authority upon the police to "order the closure of or limit access to the City Hall area in the event of an emergency or period of heightened security," *id.* § 10-09.

Although sound amplification is not mentioned in Chapter 10, the City's sound amplification policy is to prohibit the use of amplified sound in City Hall Plaza except for the three types of events that the Rules exempt from the definition of covered activities: inaugurations, award ceremonies for city employees, and ceremonies held in conjunction with City-sponsored ticker-tape parades. The City's historical practice during the past 116 years has been to hold ticker-tape parades only for the purpose of honoring heroes (as exemplified by aviators and astronauts), athletes, soldiers, and visiting dignitaries and statesmen. On such occasions, City Hall is closed and no business is conducted by either the legislative or executive branches of City government.

General provisions for the regulation of sound devices and apparatus are found in the New York City Administrative Code. According to these provisions, an application for the use of sound amplification equipment in, on, or near or adjacent to any public street, park, or place must be made to the police commissioner at the precinct covering the area where the equipment is to be used. N.Y.C.Code § 10-108(e). The terms of the permit to be issued in response to the application are set forth in the Code. *Id.* § 10-108(f). The regulatory scheme includes a variety of "[s]pecial restrictions" that require the commissioner to deny permits for the use of a sound device or apparatus including where "physical conditions are such that the use of a sound device or apparatus will deprive the public of the right to the safe, comfortable, convenient and peaceful enjoyment of any ... place for ... public purposes." *Id.* § 10-108(g)(3). Fees, penalties for violations, and exceptions for religious use are among the remaining provisions. *Id.* § 10-108(h)(j).

Housing Works commenced the action giving rise to this appeal following the notification that amplified sound would not be
permitted in the plaza of City Hall for its annual World AIDS Day event to be held on December 1, 2000. It promptly \*476
moved for a preliminary injunction to allow it to use sound amplification at the event in accordance with its written request.
Housing Works argued that the sound amplification ban was invalid because the City's policy provided standardless
discretion to government officials in regard to expressive activity at City Hall Plaza; was content-based and therefore
constituted an unreasonable time, manner, and place restriction; was not narrowly tailored to achieve any legitimate
government interest; and did not leave open ample alternative means for the communication of its message.

Various affidavits, exhibits, and stipulations were submitted by the parties in connection with the preliminary injunction motion, and Housing Works adduced testimony from Charles King, Co-Executive Director of Housing Works, and William Magod, Vice-President of a company that designs and rents sound amplification systems. One set of stipulations submitted to the court, dated November 9, 2000, included the following:

- 1. On October 30, 2000, in conjunction with the City sponsored ticker-tape parade rally in honor of the New York Yankees, the City of New York issued a permit which allowed amplified sound to be used in the plaza of City Hall. (A copy of that permit and the application is attached as Exhibit A). Such amplified sound was well in excess of 75dB(A) at ten feet.
- 2. For the purposes of the pending preliminary injunction proceedings only, it is stipulated that written guidelines or rules do not exist governing the process by which the City of New York decides to sponsor ticker-tape parades.
- 3. For the purposes of the pending preliminary injunction proceedings only, it is stipulated that the City does not rely upon a representation that the sound amplification ban in dispute is justified by concerns relating to the size of the NYPD detail at City Hall. There is a police presence in and around City Hall at all times.
- 4. For the purposes of the pending preliminary injunction proceedings only, it is stipulated that during the course of the past ten years, there have been events held within the City of New York where amplification equipment was shut off by local enforcement officials over the objection of either the event organizers or others present at the event, and that such action by local enforcement officials resulted in some members of the audience becoming unruly, hostile and in some cases, violent.
- 5. For the purposes of the pending preliminary injunction proceedings only, it is stipulated that at events where sound amplification equipment is shut off by local enforcement during the course of the event and over the objection of event organizers or others present at the event, there exists a likelihood that the participants to the event will have a negative reaction. Such negative reaction might include hostile, unruly or violent conduct by some participants.
- 6. Sound amplified in the plaza of City Hall at 81dB(A) or below measured at six feet from the speaker cannot be heard inside City Hall.

Following oral argument, the court granted Housing Works' motion for a preliminary injunction in an unpublished order dated November 26, 2000. The district court first found adequate authority for the sound amplification ban in City Hall Plaza in the fact that the new Rules governing demonstrations in the plaza did \*477 not provide for sound amplification. The court also found such authority in the power conferred upon the police to maintain public peace and safety in the plaza and in the City Charter restrictions on sound amplification. However, the court concluded "that the City policy violates the First Amendment requirement that regulation of speech be content-neutral, because the exceptions that the City is permitted to make vest too

much discretion in City officials." In view of this determination, the court saw no need to examine claims that the policy is content-based because it discriminates among subjects of speech, that it fails to meet the requirement of narrow tailoring to serve a significant government interest, and that it leaves open ample alternatives of communication. The district court noted that it was prepared to rule on these claims if necessary, because a full factual record had been developed.

In concluding that the City policy vests excessive discretion in City officials, the district court examined well-established practices in regard to ticker-tape parades, since sound amplification is permitted in conjunction with these events. The court found that the ticker-tape parades during the past 116 years had consistently been restricted to four categories of honored recipients: heroes, as exemplified by aviators and astronauts; athletes; soldiers; and visiting dignitaries and statesmen. Even as to these limited categories, according to the district court, the City's policy regarding sound amplification in the plaza does not provide the narrow, objective, and definite standards required to determine eligibility. The district court concluded that, since the City has discretion in choosing who fits within the various categories, it is thereby enabled to support or suppress a particular point of view. To illustrate this point, the district court noted that Nelson Mandela was honored by a ticker-tape parade but, as conceded by the City, more controversial foreign dignitaries who did not enjoy the same base of support as Mr. Mandela would be unlikely to receive the City's honors. Accordingly, the district court concluded "that the City has incorporated impermissible discretion into the sound amplification decision, through the mechanism of relying on its ticker-tape parade sponsorship discretion."

On February 26, 2001, after holding a conference and considering submissions of the parties, the district court issued a permanent injunction. See Housing Works, Inc. v. Kerik, 00 Civ. 7830, 2001 U.S. Dist. LEXIS 1991 (Feb. 26, 2001). In its order and judgment, the district court noted that it had "limited its analysis to the narrow issue of discretion," and determined "that no further discovery is warranted and that this matter should proceed to final judgment." Id. The court concluded "for the reasons set forth in its November 26, 2000 [preliminary injunction] Order, that the City policy violates the First Amendment as a matter of law." Id. Accordingly, the court ordered that "the City of New York is permanently enjoined from enforcing its sound amplification policy in City Hall Plaza." Id. at \*2.

### DISCUSSION

## I. Authority for City Policy

At the outset, we note our agreement with the district court's determination that the City had the authority to implement a policy banning sound amplification in City Hall Plaza. Characterizing the issue of authority as a "threshold question," Housing Works challenges this determination on appeal as it did in the district court. In its brief, Housing Works argues that the "policy is without lawful basis and is nothing more than a pronouncement of the Office of the Corporation Counsel." We reject this argument and find an adequate legal basis for the sound amplification policy.

\*478 First, the new comprehensive rules promulgated to govern demonstrations and similar forms of expressive conduct in City Hall Plaza, 55 R.C.N.Y. § 10-01-10, make no provision for the use of sound amplification equipment. The City interprets this omission in a complete regulatory scheme as authority for the sound amplification ban in the plaza area. We defer to the City's construction of a regulation it is charged with administering. <a href="Edwards' Lessee v. Darby, 25 U.S. (12 Wheat.) 206">Edwards' Lessee v. Darby, 25 U.S. (12 Wheat.) 206</a>, <a href="210">210</a>, 6 L.Ed. 603 (1827) (stating that construction by those appointed to carry out provisions of law is "entitled to very great respect"). Second, authority for the City's policy can be found in 55 R.C.N.Y. § 10-08, which states that "[n]othing in these provisions shall restrict the power and authority of the Police Department to preserve the public peace and safety in the vicinity of City Hall."

Finally, section 10-108 of the New York City Administrative Code, which deals generally with the issuance of permits and the administration of regulations respecting the use of sound devices, prohibits the police commissioner from issuing a permit whenever he determines that physical conditions are such that the use of the device would "deprive the public of the right to the safe, comfortable, convenient and peaceful enjoyment of any ... public ... place." N.Y.C.Code § 10-108(g)(3). The Corporation Counsel, as representative of the City, communicated the City's policy to Housing Works in the October 10, 2000 letter from Mr. Connolly. The policy in this case, therefore, did not originate in a pronouncement by the Corporation Counsel as did the policy at issue in *City of New York v. American Sch. Publ'ns, Inc.*, 69 N.Y.2d 576, 516 N.Y.S.2d 616, 509 N.E.2d 311 (1987), but instead finds its basis in established provisions of the New York City Administrative Code and Rules.

## II. First Amendment Analysis

## A. Of Impermissible Discretion

The City does not dispute that City Hall Plaza is a public forum as defined by the Supreme Court: a "place[] which by long tradition or by government fiat ha[s] been devoted to assembly and debate." <u>Burson v. Freeman, 504 U.S. 191, 196, 112 S.Ct. 1846, 119 L.Ed.2d 5 (1992)</u> (internal quotation marks omitted). It is well settled that the First Amendment protects the free use of such a public place for rallies of the sort conducted by Housing Works.

The protection afforded for such use is not absolute, however, because "expressive activity, even in a quintessential public forum, may interfere with other important activities for which the property is used." *Id.* at 197, 112 S.Ct. 1846. Therefore,

even in a public forum the government may impose reasonable restrictions on the time, place, or manner of protected speech, provided the restrictions "are justified without reference to the content of the regulated speech, that they are narrowly tailored to serve a significant governmental interest, and that they leave open ample alternative channels for communication of the information."

Ward v. Rock Against Racism, 491 U.S. 781, 791, 109 S.Ct. 2746, 105 L.Ed.2d 661 (1989) (quoting Clark v. Cmty. for Creative Non-Violence, 468 U.S. 288, 293, 104 S.Ct. 3065, 82 L.Ed.2d 221 (1984)).

Government-imposed restrictions on time, place, or manner of speech in a public forum will fail the neutrality requirement if they confer overly broad discretion on the regulating officials. See <u>Forsyth County v. Nationalist Movement</u>, 505 U.S. 123, 130, 112 S.Ct. 2395, 120 L.Ed.2d 101 (1992). Without proper standards to apply, there exists a potential that \*479 official discretion will be exercised to suppress a particular point of view. *Id.* Accordingly, regulations governing speech in a public forum "must contain `narrow, objective, and definite standards to guide the licensing authority." *Id.* at 131, 112 S.Ct. 2395 (quoting <u>Shuttlesworth v. City of Birmingham</u>, 394 U.S. 147, 150-51, 89 S.Ct. 935, 22 L.Ed.2d 162 (1969)).

The regulation at issue in *Forsyth County* provided for payment in advance of a fee of not more than \$1,000 per day for a permit to conduct a parade, procession, or open-air meeting. *Id.* at 126, 112 S.Ct. 2395. It empowered a Forsyth County government official to set the fee in an amount deemed necessary to meet administrative expenses as well as expenses relating to the maintenance of public order. *Id.* at 127, 112 S.Ct. 2395. The Supreme Court analyzed Forsyth County's interpretation and implementation of its regulation and noted that there were no objective factors provided for the guidance of the administrator charged with issuing the permits in regard to the fees to be charged. *Id.* at 133, 112 S.Ct. 2395. The Court concluded that in order to assess the cost of maintaining public order, it was necessary for the administrator to examine the content of the message, an examination prohibited by the First Amendment. The Court put it this way: "The costs to which [Forsyth County] refers are those associated with the public's reaction to the speech. Listeners' reaction to speech is not a content-neutral basis for regulation." *Id.* at 134, 112 S.Ct. 2395.

In *Shuttlesworth*, the municipal ordinance provided for the granting of a permit for a parade, procession, or public demonstration "unless in [the City Commission's] judgment the public welfare, peace, safety, health, decency, good order, morals or convenience require that it be refused." <u>394 U.S. at 149-50, 89 S.Ct. 935</u>. The Court determined that the ordinance conferred "virtually unbridled and absolute power" upon the City Commission and concluded that the

ordinance as it was written, therefore, fell squarely within the ambit of the many decisions of this Court over the last 30 years, holding that a law subjecting the exercise of First Amendment freedoms to the prior restraint of a license, without narrow, objective, and definite standards to guide the licensing authority, is unconstitutional.

*Id.* at 150-51, 89 S.Ct. 935. The ability of the City Commission members to apply their own concepts to such matters as convenience, morals, good order, decency, health, safety, peace, and public welfare presented an irremediable defect in the ordinance.

The policy of the City regarding amplified sound in City Hall Plaza does not confer unfettered or unbridled discretion upon City officials. The policy simply provides that amplified sound may not be used in the City Hall Plaza except for those limited and celebratory occasions when City Hall is closed. The reason for the policy is clear: the noise level of amplified sound so close to the City Hall building is a distraction to the executive and legislative officials who work inside the building as well as

to the members of the public who have business to transact there. The control of noise level is a legitimate public concern. See <u>Kovacs v. Cooper</u>, 336 U.S. 77, 86-87, 69 S.Ct. 448, 93 L.Ed. 513 (1949). Legitimate government functions must be carried out without excessive noise interference, and even the regulations governing the use of sound permits in places other than City Hall Plaza prohibit the issuance of a permit when physical conditions are such that the public would be deprived of the peaceful use of a public facility such as City Hall. See N.Y.C.Code § 10-108(g)(3).

\*480 The very limited discretion afforded to City officials in conducting a public ceremony during which City Hall is closed and sound amplification permitted cannot in any way be said to be comparable to the content-based restrictions found objectionable in the cases examined by the Supreme Court. The district court thought that the ticker-tape parade exemption to the sound amplification ban did not provide the definite, narrow, and objective standards necessary to dispel impermissible discretion. In fact, ticker-tape parades, which traditionally wind up at City Hall Plaza, have occurred only twelve times in the past twenty years. City officials have not exercised their discretion beyond the limits announced by the City policy, and have designated ticker-tape parades only for the limited purpose of honoring heroes, athletes, soldiers, visiting dignitaries, and statesmen. These affairs do not involve content-based messages of any sort. They are instead dedicated to the celebration of the kind of accomplishment and achievement recognized by all. City officials have very limited discretion in regard to the designation of these events, and to say that they have *undue* discretion is a gross exaggeration.

The Supreme Court teaches that "[t]he principal inquiry in determining content neutrality ... is whether the government has adopted a regulation of speech because of disagreement with the message it conveys." *Rock Against Racism*, 491 U.S. at 791, 109 S.Ct. 2746. Underlying the City policy at issue here is a purpose to bar generally the use of amplified sound so as to avoid interruption of essential government functions. Accordingly, it constitutes "[a] regulation that serves purposes unrelated to the content of expression" and therefore "is deemed neutral even if it has an incidental effect on some speakers or messages but not others." *Id.* 

To the extent that the City policy confers discretionary authority upon City officials to select those commonly understood to be deserving of honor for their accomplishments and therefore entitled to ticker-tape parades that entail the closing of City Hall, the policy has, at best, an incidental effect on those, like Housing Works, who desire to advance specific viewpoint-based speech. Accordingly, we are of the opinion that the City's policy banning amplified sound in City Hall Plaza, except for the very occasional celebratory ticker-tape parades when City Hall is closed, provides on its face a sufficiently narrow, objective, and definite standard to pass constitutional muster in regard to the requirement of content neutrality. *Cf. MacDonald v. Safir*, 206 F.3d 183, 193 (2d Cir.2000) (remanding for consideration of actual practices where language of ordinance vests overbroad discretion in Police Commissioner).

## B. Of Narrow Tailoring

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Agreeable to the district court's determination that the record is sufficiently complete to rule on the narrow tailoring and ample alternatives of communication requirements, we proceed to deal with those issues without remanding for further proceedings in the district court.

In a case involving an unsuccessful challenge to a New York City regulation requiring the use of city sound equipment and an independent sound technician for performances in a bandshell in Central Park, the Supreme Court "reaffirm[ed] ... that a regulation of the time, place, or manner of protected speech must be narrowly tailored to serve the government's legitimate, content-neutral interests but that it need not be the least restrictive or least intrusive means of doing so." <u>Rock Against</u> <u>Racism, 491 U.S. at 798, 109 S.Ct.</u> \*481 <u>2746</u>. Rather, the requirement of narrow tailoring

is satisfied so long as the ... regulation promotes a substantial government interest that would be achieved less effectively absent the regulation. To be sure, this standard does not mean that a time, place, or manner regulation may burden substantially more speech than is necessary to further the government's legitimate interests.

Id. at 799, 109 S.Ct. 2746 (internal quotation marks and citations omitted).

The ban on sound amplification in City Hall Plaza advances the City's "substantial interest in protecting its citizens from unwelcome noise." <u>City Council of Los Angeles v. Taxpayers for Vincent, 466 U.S. 789, 806, 104 S.Ct. 2118, 80 L.Ed.2d 772 (1984)</u>. The City policy therefore serves a legitimate, content-neutral government interest. But does it focus, as

required, on the source of the evils to be eliminated without restricting significantly a substantial quantity of speech not involving the same evils? See <u>Carew-Reid v. Metro. Transp. Auth., 903 F.2d 914, 917 (2d Cir.1990)</u>. Although the policy at issue may not achieve its goals by the very least restrictive means possible, we think the answer is yes.

While it is true that the sound amplification system in City Hall Plaza can be adjusted to a degree that the sound cannot penetrate the City Hall building, there are problems associated with such an adjustment of sound volume. First, there is a need to monitor the equipment to insure that the proper decibel level is maintained. Second, there is the problem, recognized by both parties in the stipulation dated November 9, 2000, of potential unruly, violent, and hostile conduct when the sound levels are set too low for many of those present to hear. "[W]hen a content-neutral regulation does not entirely foreclose any means of communication, it may satisfy the tailoring requirement even though it is not the least restrictive or least intrusive means of serving the statutory goal." Hill v. Colorado, 530 U.S. 703, 726, 120 S.Ct. 2480, 147 L.Ed.2d 597 (2000).

Bearing in mind the goal of the City's policy, the banning of all amplified sound in City Hall Plaza, except for the very rare occasion of a ticker-tape parade, while not the least restrictive or least intrusive means of regulating noise in the plaza, does satisfy the narrow tailoring requirement. To say otherwise is to substitute the opinion of the Court for that of "the responsible decisionmaker concerning the most appropriate method for promoting significant government interests." *United States v. Albertini*, 472 U.S. 675, 689, 105 S.Ct. 2897, 86 L.Ed.2d 536 (1985). Such a substitution of opinion is not the business of the courts. Finally, in finding compliance with the narrow tailoring requirement, we take note of the very limited construction that City officials have given to the interpretation of City policy in respect of the holding of ticker-tape parades. See *Village of Hoffman Estates v. Flipside*, *Hoffman Estates*, *Inc.*, 455 U.S. 489, 494 n. 5, 102 S.Ct. 1186, 71 L.Ed.2d 362 (1982). As to alternative means of communication, it is apparent that such means are not foreclosed to Housing Works.

#### C. Of Alternative Channels of Communication

The requirement for alternative channels of communication is easily fulfilled in this case. In addition to the many other public areas in New York City where amplified sound is permitted, there is City Hall Park, adjacent to and south of the plaza. Housing Works itself has held events with sound amplification in the park but seeks the higher profile of sound-amplified activities in the plaza. That Housing \*482 Works considers no other City location as beneficial for its purposes as City Hall Plaza does not negate the fact that significant alternate channels are open for the messages it wishes to convey.

The City policy restrictions at issue "impose only a minimal inhibition on the ability of [Housing Works] to communicate its ideas" in light of the many alternative channels available. *Concerned Jewish Youth v. McGuire*, 621 F.2d 471, 476 (2d Cir.1980). In *Concerned Jewish Youth*, a group was prohibited from conducting demonstrations in front of the Russian Mission to the United Nations. However, twelve persons were allowed to demonstrate in a "bull pen" diagonally across the street from the Mission. *Id.* at 472. Overflow areas were permitted in an avenue and in a street nearby. In holding that adequate channels of communication were provided, we said: "We do not think that the First Amendment guarantees news publicity for speakers, nor does it guarantee the continued fervor of one's fellow demonstrators." *Id.* at 474.

Even the desire of Housing Works for a high-profile location for its public activities is easily satisfied here. Housing Works has never been prohibited from conveying its message in City Hall Plaza. Only the level of noise it might use there is restricted through the ban on amplification equipment. Moreover, it is difficult to imagine a more desirable alternative site for an amplification-aided event than City Hall Park, which is situated right next to City Hall Plaza. We hold that the City's ban on sound amplification in the plaza leaves open very adequate alternative channels for communication of the information Housing Works seeks to disseminate. See <u>Clark v. Cmty. for Creative Non-Violence</u>, 468 U.S. 288, 293, 104 S.Ct. 3065, 82 L.Ed.2d 221 (1984).

#### CONCLUSION

For the foregoing reasons, the judgment of the district court is reversed, and the case is remanded to the district court for entry of judgment for the defendants.

Judge LEVAL concurs in a separate opinion.

LEVAL, J., concurring:

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As Judge Miner's opinion correctly states, the City has not disputed in this litigation that City Hall Plaza should be treated as a public forum. Because the litigants on both sides have presented the issue on that basis, we and the district court appropriately treat City Hall Plaza as a public forum for purposes of this litigation. I write separately, however, to suggest that the City may be mistaken in making that concession. Since August 1998, City Hall Plaza has been closed to the public and has ceased to be a public forum. If the new administration of Mayor Bloomberg, like its predecessor, believes that the safety of City Hall calls for the exclusion of the public and the prohibition of public demonstration in City Hall Plaza, in my view it may, without violating the First Amendment, make regulations that would so close the Plaza in order to achieve that safety objective.

The administration of Mayor Giuliani believed, in the face of repeated terrorist bombings of U.S. buildings, that the terrorist threat to City Hall justified the creation of a narrow protective buffer zone around the building. The City therefore adopted new guidelines excluding the public from the area immediately surrounding City Hall, including City Hall Plaza. Housing Works repeatedly brought litigation challenging the portions of the rules that restricted demonstration in the Plaza. In those cases, the district court repeatedly ruled in favor of Housing Works. The district judge who heard those challenges \*483 justified the decisions largely on the basis that the Plaza was a quintessential public forum, from which the City could not exclude demonstrations. In my view, the reasoning of those decisions is highly questionable.<sup>[1]</sup>

The Supreme Court in <u>Burson v. Freeman</u>, 504 U.S. 191, 196-97, 112 S.Ct. 1846, 119 L.Ed.2d 5 (1992), used the term "public forum" to characterize places "such as parks, streets, and sidewalks" which have "by long tradition or by government fiat been devoted to assembly and debate." The Supreme Court has made clear that government's power to exert control over public demonstrations at a public forum is narrowly circumscribed. Although the "public forum" label perfectly suited City Hall Plaza prior to August 1998, the fit then became dubious.

City Hall is the key building of New York City's government. It contains the offices of the Mayor and the City Council. The Plaza is a long narrow strip, approximately 30 yards wide, which separates the main entrance of City Hall on its south side from City Hall Park. The Plaza abuts against the steps constituting the main entrance to City Hall and its south wall, including the first floor offices of the Mayor and the City Council.

Prior to 1998, the public had free access to the Plaza, as well as to the paths on the east, west, and north sides of City Hall. The Plaza and the steps of City Hall became a favored place for public demonstrations. During the 1990s, a series of terrorist attacks on United States interests throughout the world gave rise to a new concern for the security of government buildings. After the terrorist bombing of the World Trade Center in 1993, the City adopted a new policy limiting the size of press conferences on the City Hall steps and the immediate vicinity to 25 persons. Housing Works sued to enjoin the enforcement of that restriction. The district court ruled in favor of Housing Works, granting a preliminary injunction. See <a href="Housing Works">Housing Works</a>, Inc. v. Safir, 1998 WL 409701 (S.D.N.Y. July 21, 1998) ("Housing Works I"). The court classified the Plaza as belonging in the category of "[s]treets and parks [that] have immemorially been held in trust for the use of the public and, time out of mind, have been used for purposes of assembly, communicating thoughts between citizens, and discussing public questions." Id. at \*2, quoting Hague v. Comm. for Indus. Org., 307 U.S. 496, 515, 59 S.Ct. 954, 83 L.Ed. 1423 (1939). The district court concluded, citing Burson, 504 U.S. at 195, 112 S.Ct. 1846, that press conferences given at such places are "entitled to the highest level of constitutional protection." I do not question the appropriateness of the classification at that time, as the public still enjoyed free access to the Plaza. Finding that the City had permitted other entities to conduct press conferences with crowds exceeding 25, the district court ruled that the restriction to 25 persons was unnecessary and was being enforced on a viewpoint-biased basis.

In August 1998 terrorists bombed two United States embassies in Africa. The City had received intelligence information to the effect that City Hall might be targeted for a terrorist attack. The City \*484 undertook a thorough reassessment of the security of City Hall. Adopting a program named Operation Alert Status Bravo, the City established a secure perimeter surrounding City Hall from which the public was excluded. Concrete barriers were set up surrounding City Hall Plaza and the walking paths around City Hall. Security posts were established at entry points; the public was no longer allowed to enter into the Plaza or approach City Hall, excepting persons who could "demonstrate that they are employed, attending an event or have business in City Hall." See <u>Housing Works v. Safir, 1998 WL 823614, at \*1 n. 1 (S.D.N.Y. Nov.25, 1998)</u> ("Housing Works II").

On November 10, 1998, the City memorialized the Operation Bravo policy governing use of the Plaza in a set of guidelines prepared by the New York City Police Department. The November 10, 1998 guidelines provided:

Recent world events and the local detention and indictment of suspects involved in the terrorist bombing attacks on United States installations abroad have created an immediate and increased threat of attack against government officials and buildings located in New York City, as well as citizens who work in or visit these locations. Pursuant to section 435(a) of the City Charter, to protect the rights of persons and property, and guard the public health and safety, sensitive locations are being provided with enhanced security for the duration of the increased risk set forth above.

Until further notice, the perimeters of sensitive locations may be secured by barricade in order to establish protective zones. Persons having business at sensitive locations may be asked to present identification and state the nature of their business in order to enter protective zones. Only those confirmed as having business may be admitted into these protective zones....

Public gatherings, including but not limited to speeches, press conferences and like events, whether of an official or unofficial nature, will not be permitted.... Government officials, members of the press and private persons or groups who wish to hold public gatherings, including but not limited to speeches, press conferences and like events (regardless of the sponsors or speakers) will be directed to such alternative locations, to the extent feasible, as will permit the event to occur within proximity of the protective zones. Alternative locations may include designated areas within nearby parks and other public spaces.

Memorandum from Inspector Daniel J. Oates to Police Commissioner, *Guidelines for Enhanced Security Measures at Sensitive Locations*, Nov. 10, 1998. (The "November 10, 1998, Guidelines.") (emphasis added)

Notwithstanding the exclusion of other demonstrators, the policy statement went on to provide that the City might *itself* use City Hall Plaza for unusual ceremonial occasions "of extraordinary public interest ... of major civic and City-wide importance" "uniquely appropriate to City Hall," during which "the regular business of City Hall may be suspended;" as examples of such occasions, the policy statement listed "inaugurations, events honoring: national military triumphs, space exploration, national or world leaders, or local World Championship teams." Admission to such an event would be restricted to ticket holders, and the holding of such events was subject to a proviso that the Police Commissioner must determine that adequate security can be provided. [3] *Housing Works II*, 1998 WL 823614, at \*2.

\*485 In the meantime, on October 23, 1998, in accordance with this exception for special City-sponsored events, the City hosted a reception in the Plaza for the World Champion New York Yankees, which was attended by 5000-6000 persons holding tickets issued by the Police Commissioner. During November 1998, the City also hosted a similar reception in the Plaza celebrating Senator-Astronaut John Glenn's return trip to outer space. For both events, the City adopted stringent security measures, including the stationing of numerous uniformed and plainclothes officers, positioning officers at the tops of nearby buildings, bomb-sniffing dogs to sweep the area before the events, closure of the City Hall building, and magnetometer searches of every attendee.

On November 4, 1998, Housing Works notified the Corporation Counsel of its intent to hold a press conference on the steps of City Hall; when its request was denied, it sought a preliminary injunction. The district court ruled in favor of Housing Works. It explained that the "[u]se of the streets and public places has, from ancient times, been a part of the privileges" of citizenry (quoting <a href="Hague, 307 U.S. at 515, 59 S.Ct. 954">Hall and in the Image: Hague, 307 U.S. at 515, 59 S.Ct. 954</a>) and for this reason "events on the steps of City Hall and in the Image: [P]laza are afforded heightened First Amendment protection." <a href="Housing Works">Housing Works</a>, Inc. v. Safir, 1998 WL 823614, at \*3 (S.D.N.Y. <a href="Nov.25">Nov.25</a>, 1998) (Housing Works II). On the basis of the fact that a large crowd had been admitted for the City's celebration of the New York Yankees' World Series victory, the court rejected the City's contention that security concerns justified the closing of the Plaza. The court added the curious observation that "if any governmental entity possesses a substantial interest in protecting [City Hall] against the threat of terrorist attacks, it is the United States," rather than the City of New York because "it was the federal government's embassies that were bombed." <a href="Housing Works II">Housing Works II</a>, 1998 WL 823614, at \*5.

The court further supported its ruling by the proposition that allowing the City to close the Plaza to demonstrators like Housing Works while permitting it to sponsor a public celebration of the New York Yankees would "unconstitutionally vest[] undue discretion in government officials so as to create the possibility of content-based discrimination." <a href="Id.">Id.</a> at \*6.

In response to the court's ruling, the City amended its policy governing access to the City Hall Plaza area, softening some of the restrictions. The amendments, announced on February 23, 1999, provided, *inter alia,* that no more than 50 persons were permitted to demonstrate in the part of the Plaza designated as the "Municipal Security Section." *Housing Works, Inc.* v. Safir, 101 F.Supp.2d 163, 166(S.D.N.Y.2000) (Housing Works III) (describing City's amendment). The new restrictions did

not apply to "public ceremonies and commemorations, inaugurations, award ceremonies, celebrations, festivals and similar events which have traditionally been organized or sponsored by the City of New York." *Id.* 

\*486 After passing a further temporary amendment in April 1999, see <u>Housing Works III, 101 F.Supp.2d at 166</u>, in January 2000 the City issued what were designated as the Final Rules. The Final Rules required that persons seeking to engage in expressive conduct in the Plaza must apply to the NYPD. If permitted, such activity was limited to two hours duration and a maximum of 50 participants on the steps, or 150 people in the Plaza away from the steps. These rules again exempted events sponsored by the City.

Housing Works then sought a permanent injunction barring enforcement of the Final Rules against it. The district court ruled in its favor. See <u>Housing Works, Inc. v. Safir, 101 F.Supp.2d 163 (S.D.N.Y. 2000) (Housing Works III)</u>. The court once again reasoned that "[t]he steps and plaza of City Hall are by their very nature, quintessential public forums ... places which by long tradition or by government fiat have been devoted to assembly and debate, such as parks, streets, and sidewalks." *Id.* at 167 (quoting <u>Burson, 504 U.S. at 196, 112 S.Ct. 1846, Perry Educ. Ass'n v. Perry Local Educators' Ass'n, 460 U.S. 37, 45, 103 S.Ct. 948, 74 L.Ed.2d 794 (1983)). Because the City government itself had used the Plaza and the City Hall steps for large celebratory events, the court concluded that the City's policy limiting the size and location of demonstrations by independent organizations such as Housing Works gave the City government impermissible discretion over the content or viewpoint of public speech delivered at that quintessentially public forum. *Id.* at 167-171. The court also expressed the view that Housing Works' agenda to combat the worldwide epidemic of AIDS is at least as "inherently a governmental activity and worthy of City Hall sponsorship" as a celebration of the New York Yankees' victory. *Id.* at 169.</u>

In April 2000, the City adopted the present rules which are described in the majority's opinion. These are designated as "Rules for Press Conferences, Demonstrations and Similar Activities in the Immediate Vicinity of City Hall." They require that demonstrating organizations apply to the Police Department for permits for demonstrations; the rules limit the number of persons to 300 and the duration to three hours for covered activities. Once again they exclude City sponsored events such as "(i) inaugurations, (ii) award ceremonies for city employees; and (iii) ceremonies held in connection with a City-sponsored ticker-tape parade." See 55 R.C.N.Y. § 10-01, et seq.

In summary, under the provisions of Operation Bravo, as set forth in the November 10, 1998, Guidelines, the Plaza has been generally closed to the public since August 1998. Demonstrative activity, at first prohibited outright, has, in response to the district court's injunctions, been allowed under restrictions designed to accommodate the district court's rulings. Throughout this period, special events conducted by the City itself were exempted from the prohibitions and restrictions.

The present suit challenging the City's enforcement of its policy restricting sound amplification in the Plaza was brought against this background of district court rulings. The district court relied on the City's concession, as well as on the conclusion reached by another district judge in the earlier *Housing Works* litigation, for the proposition that City Hall Plaza is "a quintessential public forum." In deciding that the City's right to use sound amplification at the events the City sponsors, while prohibiting such amplification at the demonstrations of others, gives the City impermissible discretion to discriminate on the basis of content and viewpoint, the district court mirrored the reasoning of \*487 *Housing Works II* and *Housing Works III* 

In my view, this series of district court decisions rested on flawed reasoning. First, in continuing to treat City Hall Plaza as belonging, together with the City's streets, parks, and sidewalks, in the category of "quintessential public forum," the court did not give appropriate recognition to the fact that since 1998 the Plaza has been closed to the public. Second, I can see no good reason for the district court's refusal in *Housing Works II* and *Housing Works III* to credit the City's safety and security-based reasons for excluding the public from a narrow perimeter surrounding the head office of the City's government. Third, in stating that the guidelines gave the City excessive discretion to allow some, and disallow other, would-be demonstrators, the decisions failed to distinguish appropriately between a government's reservation of its own working space for its own use, and its exercise of favoritism in making the location available to some, but not others, to disseminate their views. A government's use of its own working space for its own demonstrative events without opening its space to all would-be demonstrators is a very different thing from the pernicious, impermissible practice of making a public space available to some but not to others depending on the demonstrators' viewpoints. I discuss these issues in turn.

First, in my view, in 1998 the Plaza ceased to be a public forum. It is true without question that prior to the adoption of the Bravo guidelines, City Hall Plaza was a quintessential public forum. It was open to the public and had been historically used as a place for public expression of views, including opposition to policies of the City government. In August 1998, however,

https://scholar.google.com/scholar case?case=17798420022393834358&q=housing+works+v.+kerik&hl=en&as sdt=6,31

in response to very serious threats to security, the City fenced off the Plaza, as part of a small, secure perimeter around City Hall, and excluded the public from entry. For the last four years, the Plaza has been generally closed to the public. Being closed to the public, it has ceased to share the public character of parks, streets, and sidewalks. Demonstrations were also prohibited. To the extent that public demonstrations are now permitted under restrictions, it appears this is only because the City yielded to the district court's rulings enjoining its efforts to exclude demonstrations. Given this history, it seems to me highly questionable whether the Plaza continues to have the attributes of a public forum, with the legal consequences that classification entails.

The district court perhaps assumed that once a location becomes devoted to public assembly and debate, it may not be withdrawn from public use. I see no reason why this should be so, at least when the withdrawal is done for proper and important reasons, and does not improperly curtail the public's opportunity to demonstrate and to protest the government's actions. Streets and sidewalks that are adjacent to polling places, for example, ordinarily qualify as quintessential public fora but cease to be so at election time. See <u>Burson</u>, 504 U.S. at 216, 112 S.Ct. 1846 (Scalia, J., concurring) ("Streets and sidewalks' are not public forums *in all places*, and the long usage of our people demonstrates that the portions of streets and sidewalks adjacent to polling places are not public forums at all times either.") (quoting <u>Greer v. Spock</u>, 424 U.S. 828, 96 S.Ct. 1211, 47 L.Ed.2d 505 (1976)). To be sure, the First Amendment requires that any withdrawal of a public forum be done with circumspection, only for good reason, and not with a view to suppressing dissent or influencing the content of public debate. But I see no reason to doubt that well-merited concern for the safety of the seat of government is an acceptable reason for \*488 creating a narrow, secure buffer zone around it from which public demonstrations are excluded.

Even without the benefit of hindsight illuminated by the events of September 11, 2001, the barring of public access to the Plaza was well justified. In light of the numerous terrorist bombings of government buildings and prominent New York buildings that occurred in the 1990s and the receipt of intelligence to the effect that City Hall was a possible target, New York's concern for the security of City Hall was well founded. The City did no more than has been done around many U.S. governmental buildings, including this courthouse. There is no credible suggestion that the City was disingenuous in its citation of security concerns, or that the restriction was intended to suppress dissent, or that it left demonstrators without suitable locations to stage effective demonstrations protesting City policies.

I believe, furthermore, that the district court discredited the City's security objectives for invalid reasons. The court's main basis for doubting the bona fides of the City's claim of security concerns was that the City had hosted large events in the Plaza for the Yankees and for Senator Glenn. Housing Works II, 1998 WL 823614, at \*\*6-7. The district court's reasoning is puzzling. First, the Plaza was not opened to the public on these occasions. Although the number of persons in attendance was large, attendance was limited to persons holding tickets issued by the Police Commissioner, and substantial security precautions were taken. Id. [4] Second, even if the admission of a crowd to the Plaza on short notice on a rare occasion involves some increased vulnerability, that increased risk is not nearly as great as would result from opening the Plaza all the time to all who would demonstrate there. The City's hosting of these two events in the Plaza does not reasonably cast a doubt on the bona fides of the City's claims that the security of City Hall would be compromised by opening the Plaza to daily use by all who would demonstrate there. The district court's additional reason — that the safety of City Hall was more properly the concern of the federal government than the City government, because "it was the federal government's embassies that were bombed," id. at \*5 — seems to me a non sequitur. I know no reason to expect the federal government will provide protection to New York City's governmental buildings. The federal government's failure to provide security to New York's City Hall does not justify the conclusion that there is no need for security, as the district court seemed to reason.

Finally, the district court observed that the City's use of the Plaza for rallies celebrating the New York Yankees' victory and Senator Glenn's return voyage to outer space, while denying use of the Plaza to Housing Works and other applicants, vests undue discretion in City officials because "such discretion has the potential for becoming a means of supporting a particular point of view." <u>Housing Works II, 1998 WL 823614, at \*6</u> (quotation marks omitted). In *Housing Works III*, the court also questioned whether celebrating the Yankee World Series victory was as "worthy of City Hall sponsorship" as Housing Works' sponsorship of the fight against AIDS. 101 F.Supp.2d at 169.

\*489 I believe this reasoning is flawed in several respects. As to the district court's evaluation of the relative worth of Housing Works' AIDS message, as compared with celebration of the Yankees' World Series victory, it is not properly a court's function to assess which message is more worthy of public dissemination. A court's function in such cases is simply to determine whether the City's actions violated the requirements of the Constitution. As to the district court's notion that the City's exclusion of Housing Works' demonstration, while retaining the right to hold its own celebratory demonstrations in the

Plaza, gives the City impermissible discretion to allow the airing of favored messages while disallowing disfavored ones, it fails to appreciate an important distinction.

Without doubt the First Amendment does not countenance government asserting the right to pick and choose which entity may be permitted to demonstrate or march in a public space. The Supreme Court has made clear that government may not operate under vague standards that would allow it to grant demonstration permits to those who support the government's policies, while denying permits to its critics. See, e.g., Forsyth County v. Nationalist Movement, 505 U.S. 123, 130, 112 S.Ct. 2395, 120 L.Ed.2d 101 (1992); Shuttlesworth v. City of Birmingham, 394 U.S. 147, 153, 89 S.Ct. 935, 22 L.Ed.2d 162 (1969). Government's proper control over public demonstration is limited to reasonable and clear limitations as to time and manner that cannot be manipulated so as to favor demonstrations promulgating a favored message, while excluding those proclaiming a disfavored message. It does not follow, however, that when government conducts its own demonstration in its own working space, it is then under obligation to make its working space available to others who would argue contrary positions, much less to all others regardless of their message.

The district court treated the problem as if the City had made the Plaza available to the New York Yankees for the Yankees' public demonstration, while denying like access to Housing Works. But that was an inaccurate perception of the events. What the City did was to hold *its own* celebration of the Yankees *in its own* working space. I see no reason why conducting its own celebration of the Yankees victory in its own working space should obligate the City to make that space available to others who might wish to demonstrate there.

It is not unusual, for example, for a newly-elected Mayor to hold a reception open to the public at the seat of government celebrating her installation in office. By so opening the Mayor's office to the public to celebrate her inauguration, the Mayor does not lose the power to exclude the public on the other days of the year. And if the Mayor gives a press conference in her office advocating one of her programs, she is not thereby obligated to make her office available to those who would give press conferences opposing her program, much less make her office available to anyone who would demonstrate there on any issue. Once the Plaza was closed to the public in 1998 and became part of the nonpublic working space of the City Hall complex, the same principles governed access to the Plaza.

I add these remarks to Judge Miner's well-reasoned decision out of concern for the future. Should Mayor Bloomberg's administration determine that concern for the safety of City Hall appropriately calls for closing the Plaza to public demonstrations, in my view it should not be deterred by this series of district court decisions from making regulations to accomplish that objective. The City should have the power to protect the safety of City Hall by closing the Plaza, notwithstanding that the \*490 City may reserve the right to use the Plaza for its own celebratory events.

- [\*] The Honorable Frederick J. Scullin, Jr., Chief Judge of the United States District Court for the Northern District of New York, sitting by designation.
- [1] The rulings were not appealed or failed to produce an authoritative ruling on appeal with respect to the "public forum" issue.
- [2] Attacks against United States interests in the 1990s included: 1) the World Trade Center bombing on February 26, 1993; 2) the bombing of the Alfred P. Murrah Federal Building in Oklahoma City on April 19, 1995; 3) the bombing of U.S. military headquarters in Riyadh, Saudi Arabia on November 13, 1995; 4) the bombing of Khobar Towers in Dharan, Saudi Arabia on June 25, 1996; and 5) the bombings of American embassies in Nairobi, Kenya and Dares Salaam, Tanzania on August 7, 1998.
- [3] The Police Commissioner may authorize the use by the City of the City Hall plaza and step areas for ceremonial occasions (1) of extraordinary public interest, (2) which are uniquely appropriate to City Hall, (3) during which the regular business of City Hall may be suspended or curtailed, and City Hall otherwise closed to the general public, (4) which are unique, non-annual events of major civic and City-wide importance (e.g., inaugurations and events honoring: national military triumphs, space exploration, extraordinary national or world leaders, or local World Championship teams), and (5) which require a ticket for entry, provided the Police Commissioner or his designee determines that adequate provisions for security can be made in light of the extraordinary security concerns outlined above. November 10, 1998 Guidelines. (emphasis added)
- [4] The district court observed that the "ticket requirement did not meaningfully promote security since individuals were allowed access ... without showing identification." <u>Housing Works II, 1998 WL 823614, at \*6</u>. The fact that the district court was able to discern a flaw in the security precautions adopted by the City to protect against terrorism does not seem to me an adequate reason to discredit the bona fides or validity of the City's concern for security.

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